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Abstract—Balloon Kyphoplasty uses an inflatable bone tamp and cement augmentation to repair vertebral compression fractures. A recent clinical study observed a 78% re-collapse rate in patients showing a radiolucent phenomenon at the bone-cement interface following Kyphoplasty. Two experimental studies showed significant height loss following Balloon Kyphoplasty under cyclical loads. The present study investigates the alteration in load angle corresponding to this height loss and its effect on load transfer to the bone-cement interface. A validated finite element model of a human thoracolumbar spine was segmented into a single L1 vertebral body and modified to replicate bilateral Balloon Kyphoplasty. Cement was modeled using prolate spheroids surrounded by an interface region divided into anterior, middle and posterior sections. Interface thickness was calculated using a mathematical model with a bone volume fraction of 0.3 and 50% bone compaction. An 800N load was applied at angles of 0° and 20° from the vertebral axis. Results indicate that a change in the applied load angle significantly alters the principal stress components and directions across the interface region. This alteration in loading must be considered in the context of the highly compliant interface region and therefore is hypothesized to be a contributory factor to vertebral re-collapse.

I. INTRODUCTION

Treating fractures of the spine is a major challenge for the medical community both within Ireland and the US with an estimated 1.4 million fractures per annum worldwide [1]. It has been estimated that approximately 15% [2] of spinal injuries are associated with trauma events, while the remainder are classified as insufficiency fractures related to poor bone quality. An Irish study [3] of ~1,000 admissions during a 5-year period found traumatic spinal injuries needed on average 46 days of hospital admission along with life-long monitoring in cases of spinal cord injury. Costs for life-long treatment of a 25-year old with spinal cord injury in the United States has been estimated at \$4.5 million [4].

A recent clinical study [5] of 175 patients drew attention to a radiological halo phenomenon around the bone-cement interface following Kyphoplasty. The authors statistically correlated this radiological feature with a 78% re-collapse rate and attributed the phenomenon to a lack of cement

interdigitation into the trabecular structure. A recent in-vitro study [6] confirmed cement infiltration and mechanical interlock was restricted following Kyphoplasty due to the presence of compacted bone. Two studies [7,8] involving cyclical loading of Kyphoplasty treated vertebrae showed statistically significant height losses over the course of 100,000 loading cycles to replicate the rehabilitation period.

The current work hypothesizes that mechanical loading at the bone-cement interface is altered by the changing load angle induced by vertebral height loss. The authors propose that this change in loading of the compacted bone-cement interface is a significant factor in instigating further vertebral collapse.

II. METHODS

A validated finite element model [9] of a human thoracolumbar spine was segmented into a single L1 vertebral body and modified to replicate bilateral Balloon Kyphoplasty. The first lumbar vertebra was selected for analysis since it is commonly fractured in injuries to the spinal column [10]. Cement was modeled using prolate spheroids surrounded by an interface region divided into anterior, middle and posterior sections. Interface thickness was calculated using a previously developed [11] mathematical model with a bone volume fraction of 0.3 and 50% bone compaction [12]. An 800N [13] load was applied at angles of 0° and 20° [14] to represent the loading conditions during rehabilitation after the Kyphoplasty procedure.

Figure 1. Finite element model of bi-lateral Kyphoplasty in L1 vertebra.

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III. RESULTS

Results indicate that increased Kyphotic loading causes a shift in stress distribution to the posterior parts of the interface region by up to 34-44%. The anterior and middle sections of the interface experienced changes in average Von Mises stress of less than 11%. Maximum Von Mises stresses were not strongly influenced by the altered loading angle and increased by up to 7% in the posterior section of the interface region.

Figure 2. Graph of percentage change in average Von Mises stress after increasing the applied load angle to 20°.

IV. DISCUSSION & ANALYSIS

Recent literature evaluating Balloon Kyphoplasty post-operatively have indicated significant height loss and vertebral re-collapse in patients exhibiting a bone-cement halo phenomenon on radiographs [5]. The results from the current study show that height loss induced load changes initiate a shift in stress distribution to the posterior parts of the interface region. Further investigation of the stress states found significant compressive stresses are imposed on the posterior parts of the interface region due to the transmission of shear loads through the pedicles caused by Kyphosis. These results show that height loss and interface loading are strongly interdependent and can contribute to sustaining a cycle of increased interfacial stresses leading to further vertebral collapse.

Applying these findings in the context of Kyphoplasty, where poor cement interdigitation is prevalent [6], indicates an increased likelihood of sliding at the contact points between the bone and cement. It has been shown [15] that localized deformation at bone-cement contact sites constitute a significant proportion of the deformation seen in the interface region. The increased loading in the posterior parts of the interface carries additional significance in a clinical context since the cement bolus in this region often contains an imprint from the cannula used by the surgeon.

This cannula defect creates a site for crack initiation in the cement mantle which has been observed during a fatigue study [7] of Kyphoplasty with calcium phosphate cement.

V. CONCLUSION

The results from the current work indicate that the design of medical procedures and devices to treat vertebral compression fractures must focus on achieving a strong mechanical interlock between the augmentation medium and the native bone to ensure the longevity of the treatment.

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