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Analysis of temperature dependence for a ratiometric wavelength measurement system using SMS fiber structure based edge filters

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Abstract Temperature dependence of an edge filter based on singlemode-multimode-singlemode (SMS) fiber structure is investigated numerically and experimentally. The experimental results and numerical results are in good agreement within an operational temperature range from 10 to 40 °C. It is found that the thermo-optic coefficient (TOC) has a more significant effect on the temperature dependence of an SMS edge filter compared to the thermal expansion coefficient (TEC). In the ratiometric wavelength measurement using two SMS edge filters, a small temperature variation can induce the ratio variation and in turn the wavelength measurement error. It is found the SMS edge filter's response to both wavelength and temperature is linear. It is proposed that self monitoring of temperature can be carried out using an updated ratiometric scheme. Self-monitoring of the temperature reduces temperature induced wavelength error to ± 10.7 pm at 1545 nm, regardless of the ambient temperature variation.

Keywords: temperature dependence, edge filter, multimode fiber

1. Introduction

Singlemode-multimode-singlemode (SMS) fiber structures have been demonstrated experimentally as an all-fiber implementation of a bandpass filter, an edge filter, a wavelength encoded temperature sensor and a strain and temperature sensor [1-5]. A SMS fiber structure is fabricated by splicing a specified length of a multimode fiber (MMF) with two singlemode fibers (SMF) at the ends of MMF. This configuration offers simplicity, an all fiber configuration, and a low cost.

Recently, we reported on the application of SMS fiber structures as edge filters for wavelength monitoring [2] and on the effect of misalignment of the SMF-MMF-SMF cores [3]. Wavelength measurement is essential for a fiber-Bragg-grating (FBG) based sensing system. Among the available schemes, an all-fiber ratiometric power measurement technique offers a simple configuration, competitive resolution and high speed measurement compared to an active scanning method. A ratiometric scheme converts the wavelength measurement into a signal intensity measurement. An all-fiber

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4 ratiometric wavelength monitor consists of a 3 dB fiber coupler with two outputs to
5 which a fiber edge filter arm with a well defined spectral response and a reference arm
6 are attached. Alternatively, two fiber edge filter arms with overlapping opposite slope
7 spectral responses can be used. The use of two edge filters can increase the resolution of
8 the measurement system [6]. Two fiber edge filters with overlapping and opposite slope
9 spectral responses, a so-called “X-type spectral response” based on SMS fiber structure
10 have been investigated numerically and experimentally [2].
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13 The effect of temperature on the optical and mechanical properties of silica means
14 that temperature changes could affect the spectral performance of devices based on an
15 SMS fiber structure. An investigation has been carried out previously [7] on the peak
16 wavelength shift of the transmission spectral response of an SMS structure due to
17 temperature change and the reduction of this peak shift to a low value by temperature
18 compensation. However in an edge filter based ratiometric wavelength measurement
19 scheme, even low values of peak wavelength shift can still induce sufficient ratio
20 variation to degrade wavelength measurement accuracy [8]. In this paper, we present an
21 analysis verified by experimental results of the effect of temperature on the overall
22 transmission response of a SMS structure used as an edge filter. In Section 2 we
23 investigate the temperature dependence of an SMS based edge filter and find that there is
24 a linear relationship between temperature and wavelength. Importantly there is also
25 a linear response to temperature and this suggests that self monitoring of temperature is
26 possible to reduce wavelength measurement error to a minimum, using an updated
27 ratiometric system. This is presented in Section 3.
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32 **2. Temperature dependence in a SMS edge filter**

33 It is useful to initially consider the design of the X-type spectral response SMS
34 edge filters. In order to design an SMS fiber edge filter, a modal propagation analysis
35 (MPA) for linearly polarized (LP) modes is used [1, 9]. A brief review of the design,
36 fabrication and measurement of the X-type SMS edge filters can be found in [2]. A
37 standard SMF type SMF28 and an MMF type AFS105/125Y are used with core/cladding
38 diameters of 8.3/125 μm and 105/125 μm , respectively. Two lengths of MMF are chosen
39 to provide the X-type SMS edge filters within a wavelength range 1530 to 1560 nm
40 (typical for an FBG sensing), $L_1 = 43.57$ mm and $L_2 = 42.63$ mm for SMS-1 and SMS-2,
41 respectively. The calculated and measured results for SMS edge filters are shown in Fig.
42 1. The measured results show a good agreement with the numerical results. The
43 discrepancy between the calculated and measured results is most likely a consequence of
44 splice insertion losses.
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51 **Fig. 1** Calculated and measured two edge filters X-type spectral response.
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53 It is well known that there are two parameters which characterize the effect of
54 temperature on the fiber, the thermal expansion coefficient (TEC) and the thermo-optic
55 coefficient (TOC). The TEC characterizes the physical expansion or contraction of the
56 material’s volume, while the TOC characterizes refractive index change in response to
57 temperature change. Using the TEC and TOC, the change in core radius (R), MMF
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length (L), and the refractive index (n) due to a temperature variation (ΔT), can be expressed, respectively, as

$$R_{(smf,mmf)T} = R_{(smf,mmf)0} + \alpha \cdot R_{(smf,mmf)0} \cdot \Delta T \quad (1.a)$$

$$L_{(1,2)T} = L_{(1,2)0} + \alpha \cdot L_{(1,2)0} \cdot \Delta T \quad (1.b)$$

$$n_{(core,clad)T} = n_{(core,clad)0} + \xi \cdot n_{(core,clad)0} \cdot \Delta T \quad (1.c)$$

where α and ξ are the TEC and the TOC, respectively.

To gain an insight into the effect of temperature changes on the transmission loss of a SMS based edge filter, we investigate experimentally and numerically the effect of temperature at a single wavelength. The experimental setup is built and is shown in Fig. 2. The SMS edge filter is attached to a thermoelectric Peltier cooler, which is controlled by a precision digital temperature controller (ITC 510, Thorlabs), while a digital resistance thermometer sensor probe is also attached to accurately measure the temperature.

Fig. 2 Schematic set-up for measuring the temperature dependence on the SMS edge filter transmission loss.

To calculate the temperature dependence of the transmission loss, we assumed $\alpha = 5 \times 10^{-7} / ^\circ\text{C}$ and $\xi = 6.9 \times 10^{-6} / ^\circ\text{C}$ for both the SMF and MMF [7]. By using a MPA the transmission loss from 1540 to 1550 nm for the temperature of 10 and 40 °C is calculated and presented in Fig. 3(a). The measured results are also shown in Fig. 3(b). One can see both calculated and measured results for SMS-1 and SMS-2 show that an increase in temperature results in a spectral response shift to the higher wavelength as in [10, 11]. The change in transmission loss from the value at 20 °C is calculated for temperatures from 10 to 40 °C, at a wavelength of 1545 nm. The calculated and measured results for the transmission loss change over the temperature range for SMS-1 and SMS-2 are shown in Fig. 4. The calculated and measured results are in good agreement. From Fig. 4, it is also clear that the change in transmission loss for both SMS-1 and SMS-2 has a linear response with temperature. The transmission loss difference between 10 to 40 °C is 0.171 dB for SMS-1 and 0.134 dB for SMS-2.

Fig. 3 Transmission loss response at the temperature of 10 and 40 °C (a) calculation results (b) measurement results

Fig. 4 Transmission loss change as a function of temperature at a wavelength of 1545 nm for a reference temperature of 20 °C.

It is useful to analyze the separate contributions to temperature dependent effects of the TEC and TOC. The transmission loss change, relative to 20 °C, due to an increase in temperature is calculated for TEC only and then for TOC only and is compared to the contribution of both TEC and TOC taken together. As an example for SMS-1 at a wavelength of 1545 nm, the calculated transmission loss change due to a change in temperature for the contribution of TEC and TOC individually, and for the contribution of TEC and TOC together are shown in Fig. 5. It can be seen, with a change in

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4 temperature, the transmission losses for the TEC and TOC parameters have opposite
5 slopes and thus induce opposite transmission spectral response shifts. However the TEC
6 has a significantly lower contribution to the temperature dependence of the edge filter
7 transmission loss compared to the TOC.
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10 **Fig. 5** Calculated transmission loss change due to temperature change for TEC, TOC
11 separately and also for TEC and TOC together.
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14 **3. Temperature dependence in the ratiometric wavelength** 15 **measurement system** 16 17

18 To investigate the effect of temperature on the accuracy of wavelength
19 measurement, the temperature dependence for a ratiometric wavelength measurement
20 system, similar to that described in [2] using a pair of SMS structures as an X-type filters,
21 is studied. The input signal from a tunable laser is split into two equal intensity signals
22 using a 3 dB fiber coupler (see inset figure in Fig. 6). One of the signals passes through
23 SMS-1 and the other passes through SMS-2. A dual channel power meter is placed at the
24 ends of both arms. The two SMS edge filters are attached to the thermoelectric Peltier
25 cooler. The ratio spectral response is measured from 10 to 40 °C within the wavelength
26 range 1530-1560 nm. Fig. 6 shows the measured optical power ratio spectrum for several
27 temperatures. The ratio response difference between 10 and 40 °C is 0.306 dB at a
28 wavelength of 1545 nm (see inset graph in Fig. 6). The ratio change for a ± 5 °C
29 temperature change is ± 0.051 dB. While this is a small change in ratio, the impact on
30 wavelength accuracy is still significant. From the measured results it is estimated that a
31 temperature variation of ± 5 °C at 20 °C induces a wavelength error of ± 67.4 pm at 1545
32 nm. This error is very significant as the inherent error in a ratiometric system due to noise
33 and other non-temperature related effects can be less than 10 pm [2].
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38 **Fig. 6** Measured ratio at different temperatures within the wavelength range. Schematic
39 configuration of ratiometric wavelength measurement (inset figure). Temperature
40 response at 1545 nm (inset graph).
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44 Therefore in order to maintain accuracy when utilizing such SMS structures as
45 edge filters, there are two possible solutions: i) use a packaging material for the SMS
46 structure with a suitable TEC value which compensates for temperature induced changes
47 in the SMS structure [7] or ii) actively monitor the filter temperature and correct the
48 calibration as required (active temperature stabilization of the filter is possible but is more
49 complex than monitoring). For the solution involving the use of a packaging material, a
50 small un-compensated temperature drift due to a small mismatch in the TEC value of
51 packaging material and the SMS can lead to a significant wavelength measurement error.
52 For the temperature monitoring solution, from the inset graph in Fig. 6, the linear relation
53 between the ratio and temperature shows that it is feasible to apply calibration correction.
54 By knowing the operating temperature, the correction required to the calibrated ratio
55 response over the whole wavelength range can be determined.
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58 The linearity of the SMS edge filter's response to both wavelength and
59 temperature potentially allows one to use the SMS structure to monitor its own
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temperature, with the added advantage that simultaneous measurement of the wavelength and temperature is possible if required. To implement a self-monitoring approach an updated radiometric scheme is proposed as in Fig. 7. A 3 dB coupler and a power meter are added to the existing radiometric scheme as in the inset figure in Fig. 6. The ratio $R_1 = P_{ref} - P_1$ and $R_2 = P_{ref} - P_2$ in dB are measured. The wavelength change, $\Delta\lambda$, and temperature change, ΔT , to the ratio change ΔR_1 and ΔR_2 can be expressed as:

$$\begin{bmatrix} \Delta R_1 \\ \Delta R_2 \end{bmatrix} = \begin{bmatrix} \alpha_1 & \beta_1 \\ \alpha_2 & \beta_2 \end{bmatrix} \begin{bmatrix} \Delta\lambda \\ \Delta T \end{bmatrix} = M \begin{bmatrix} \Delta\lambda \\ \Delta T \end{bmatrix}, \quad (2)$$

where $\alpha_{1,2}$ and $\beta_{1,2}$ are the matrix coefficients of M that correspond to the wavelength and temperature slopes respectively, which can be determined experimentally. Thus, the wavelength and temperature changes can be determined simultaneously from

$$\begin{bmatrix} \Delta\lambda \\ \Delta T \end{bmatrix} = M^{-1} \begin{bmatrix} \Delta R_1 \\ \Delta R_2 \end{bmatrix}, \quad (3)$$

where M^{-1} is an inverse matrix of M . The resolution of wavelength and temperature measurement can be determined from:

$$\begin{bmatrix} \delta(\Delta\lambda) \\ \delta(\Delta T) \end{bmatrix} = abs(M^{-1}) \begin{bmatrix} \delta(\Delta R_1) \\ \delta(\Delta R_2) \end{bmatrix} \quad (4)$$

where $\delta(\Delta R_{1,2})$ is the uncertainty in ratio measurement.

Fig. 7 Updated schematic radiometric system to allow self-monitoring of temperature.

To determine the wavelength and temperature coefficients, pre-determined wavelength and temperature changes were applied separately to the radiometric system. We measured the ratio R_1 and R_2 in the wavelength range of 1540 to 1550 nm at a fixed temperature of 10 °C as shown in Fig. 8(a). A smaller wavelength range is chosen to ensure a piece-wise linear response. The wavelength was then fixed at 1540 nm and the temperature was changed. Fig. 8(b) shows the ratio R_1 and R_2 with the respect to temperature changes at the wavelength 1540 nm. The measured ratios R_1 and R_2 have a good linear response with variations in wavelength and temperature. The coefficients $\alpha_{1,2}$ and $\beta_{1,2}$ can be obtained as a ratio slope with $\alpha_1 = -0.4588$ dB/nm, $\alpha_2 = 0.331$ dB/nm, $\beta_1 = 0.0016$ dB/°C, and $\beta_2 = -0.0076$ dB/°C. Assuming an uncertainty in ratio measurement of 0.003 dB, the estimated measurement resolution for wavelength and temperature are 9.8 pm and 0.8 °C, respectively. Without temperature self monitoring the temperature induced wavelength error will be high, eg. ± 67.4 pm for a 5 °C temperature variation. In practice much higher worst case ambient temperature variations could occur and induce even larger errors. Self monitoring of the temperature reduces the worst case temperature induced wavelength error to ± 10.7 pm at 1545 nm, regardless of ambient temperature variations, a value comparable to errors induced by noise and other sources.

It should be noted that the estimated wavelength error above is based on the matrix coefficients α and β at a fixed temperature of 10 °C and a fixed wavelength of 1540 nm, respectively. However, detailed experimental results have shown that there is a variation of matrix coefficients α and β with temperature and wavelength. For the temperature

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4 range from 10 to 40 °C and a wavelength range from 1540 to 1550 nm the measured
5 matrix coefficients variations are $\alpha_1 = -0.46 \pm 3.19 \times 10^{-4}$ dB/nm, $\alpha_2 = 0.33 \pm 1.42 \times 10^{-3}$
6 dB/nm, $\beta_1 = 1.68 \times 10^{-3} \pm 1.42 \times 10^{-4}$ dB/°C, and $\beta_2 = -7.63 \times 10^{-3} \pm 7.48 \times 10^{-4}$ dB/°C. The
7 calculated results are comparable with matrix coefficient variations thus: $\alpha_1 = -0.51 \pm$
8 1.66×10^{-3} dB/nm, $\alpha_2 = 0.34 \pm 2.16 \times 10^{-3}$ dB/nm, $\beta_1 = 3.24 \times 10^{-3} \pm 9.77 \times 10^{-4}$ dB/°C, and
9 $\beta_2 = -5.08 \times 10^{-3} \pm 9.74 \times 10^{-4}$ dB/°C. The measured and calculated matrix coefficients show
10 a good agreement. Discrepancies could be attributed to the small wavelength dependent
11 response of the 3 dB couplers used in the measurement and the accuracy of TEC and
12 TOC coefficients used in the calculation. These matrix coefficients variations can
13 increase the error measurement as described in [12]. Self temperature monitoring is still
14 feasible if an artificial neural network (ANN) approach as in [13-15] is used rather than
15 the inverse matrix approach above. The ANN can model the nonlinear or linear
16 relationship between the input and output data using several neurons with nonlinear
17 transfer functions. By using sufficient neurons, the ANN can learn the relationship
18 between the input and output data. Therefore the relationship between $\{\Delta\lambda, \Delta T\}$ and
19 $\{R_1, R_2\}$ can be modelled more accurately by using an ANN and it has been reported that
20 the use of ANN can increase the measurement accuracy compared to the inverse matrix
21 approach above [13-15].
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31 **Fig. 8** (a) wavelength coefficients at the temperature of 10 °C (b) temperature coefficients
32 at the wavelength of 1540 nm.
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36 4. Conclusion

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38 An analysis of the temperature dependence of a ratiometric wavelength measurement
39 scheme using SMS fiber structure based edge filters has been carried out. We have
40 investigated numerically and experimentally the effects of temperature on the
41 transmission loss of a dual SMS edge filter. The experimental results are in good
42 agreement with the numerical results. It is found that the TOC makes a more significant
43 contribution to the temperature dependence of an SMS edge filter compared to the TEC.
44 The linearity of the SMS edge filter's response to both wavelength and temperature
45 potentially allows one to use the SMS structure to monitor its own temperature using an
46 updated ratiometric scheme, with the additional advantage of simultaneous measurement
47 of the wavelength and temperature if required. It is demonstrated a self-monitoring of the
48 temperature reduces temperature induced wavelength error to ± 10.7 pm regardless of the
49 ambient temperature variation. It is also noted that using an artificial neural network
50 could improve accuracy still further.
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56 Acknowledgment

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59 60777038) is acknowledged in supporting this research.
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Figure Captions

Fig. 1 Calculated and measured two edge filters X-type spectral response.

Fig. 2 Schematic set-up for measuring the temperature dependence on the SMS edge filter transmission loss.

Fig. 3 Transmission loss response at the temperature of 10 and 40 °C (a) calculation results (b) measurement results.

Fig. 4 Transmission loss change as a function of temperature at a wavelength of 1545 nm for a reference temperature of 20 °C.

Fig. 5 Calculated transmission loss change due to temperature change for TEC, TOC separately and also for TEC and TOC together.

Fig. 6 Measured ratio at different temperatures within the wavelength range. Schematic configuration of ratiometric wavelength measurement (inset figure). Temperature response at 1545 nm (inset graph).

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Fig. 8 (a) wavelength coefficients at the temperature of 10 °C (b) temperature coefficients at the wavelength of 1540 nm.

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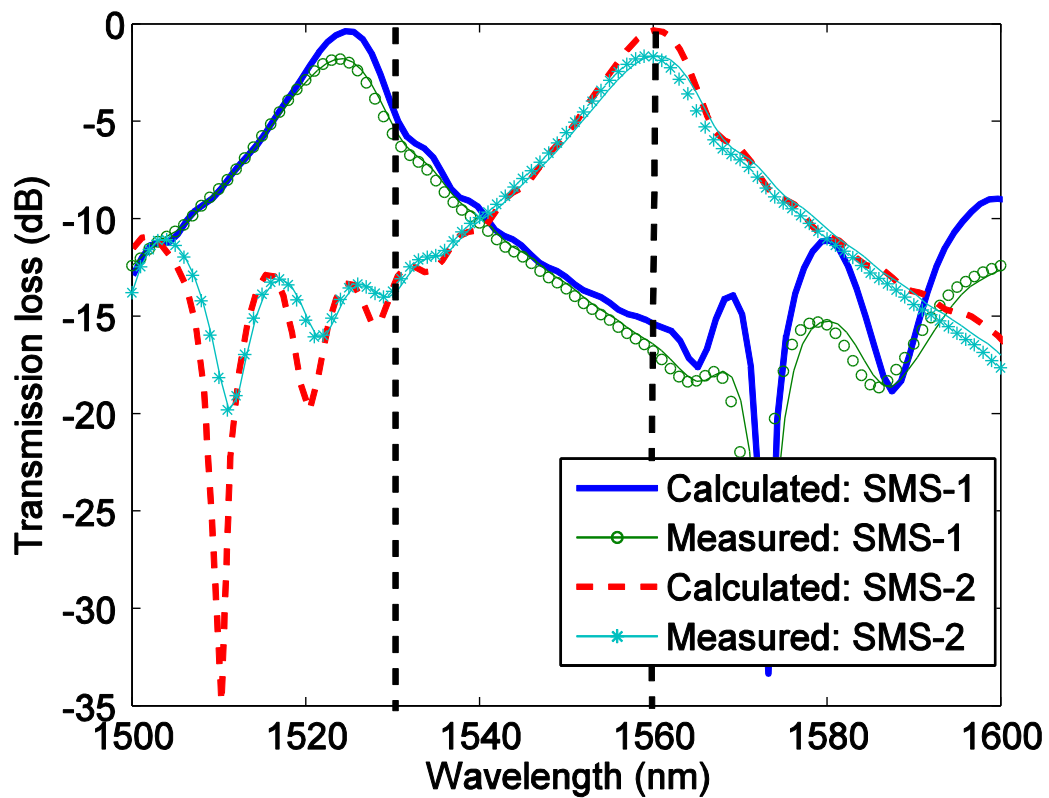


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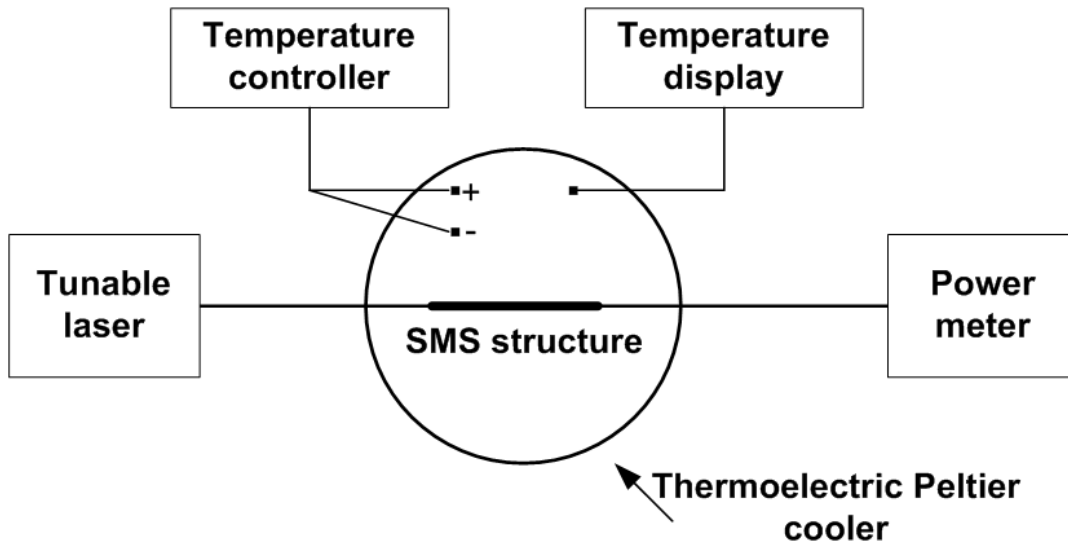


Fig. 2 Schematic set-up for measuring the temperature dependence on the SMS edge filter transmission loss.

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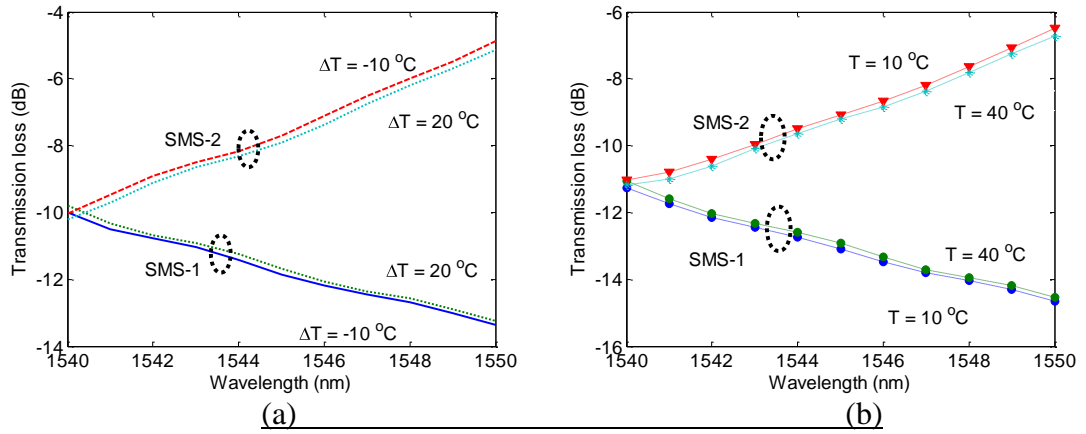


Fig. 3 Transmission loss response at the temperature of 10 and 40 °C (a) calculation results (b) measurement results

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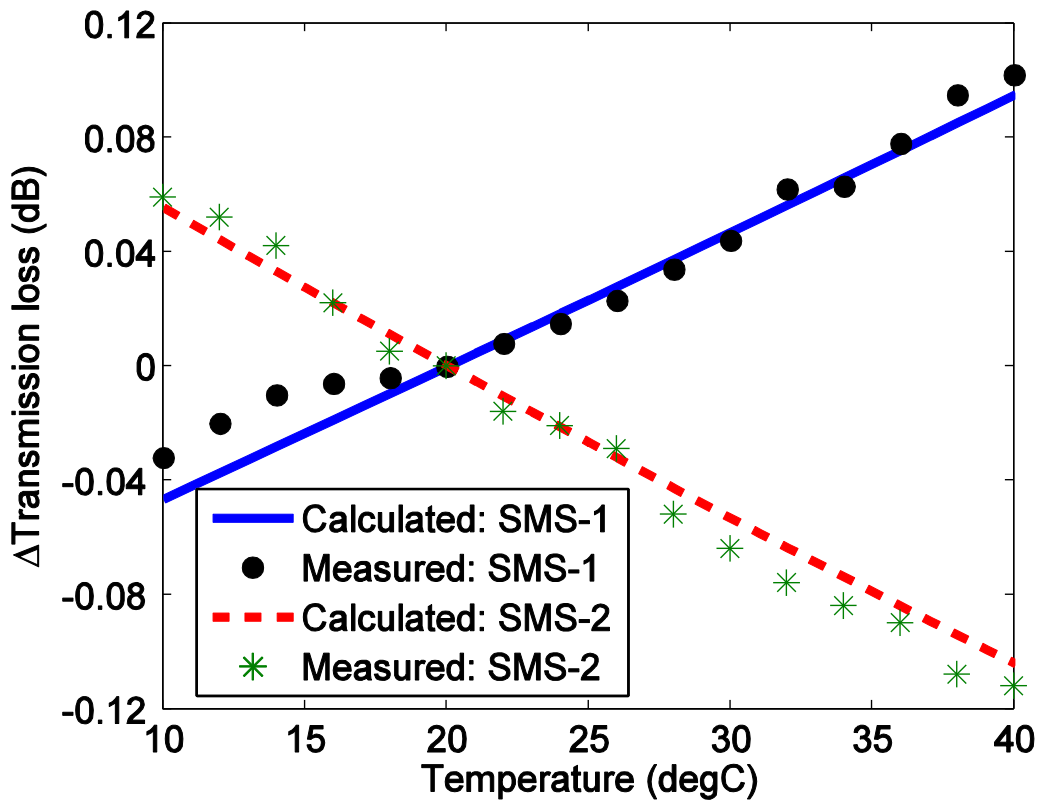


Fig. 4 Transmission loss change as a function of temperature at a wavelength of 1545 nm for a reference temperature of 20 °C.

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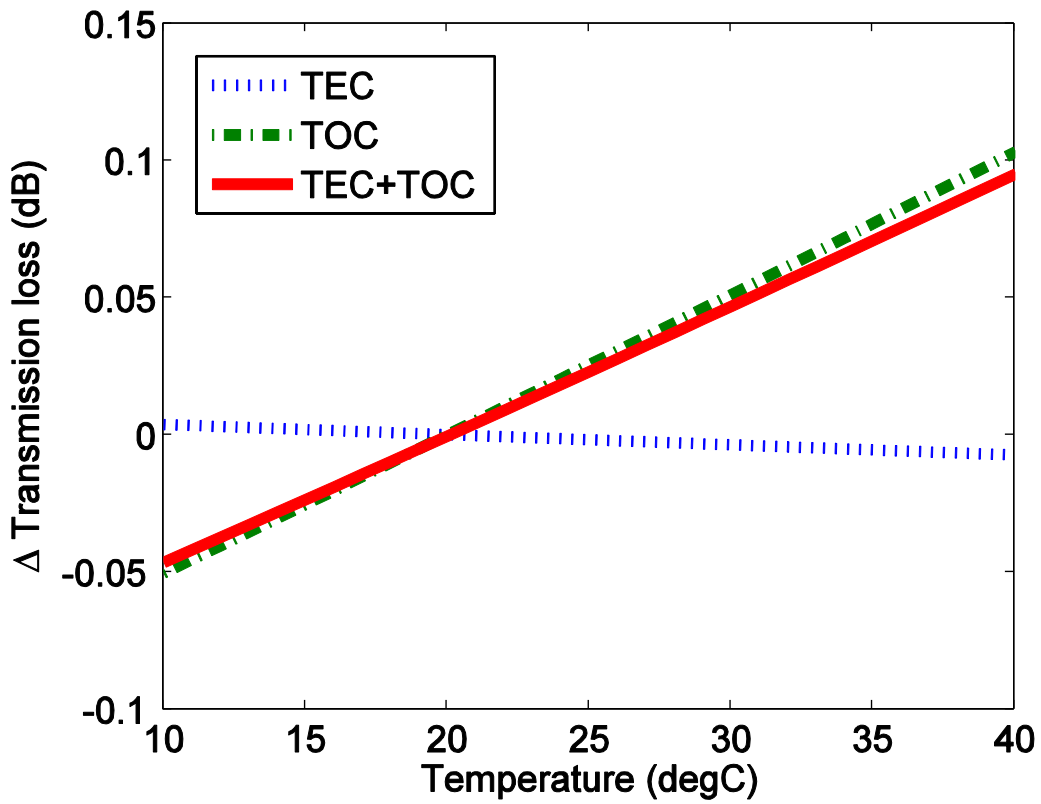


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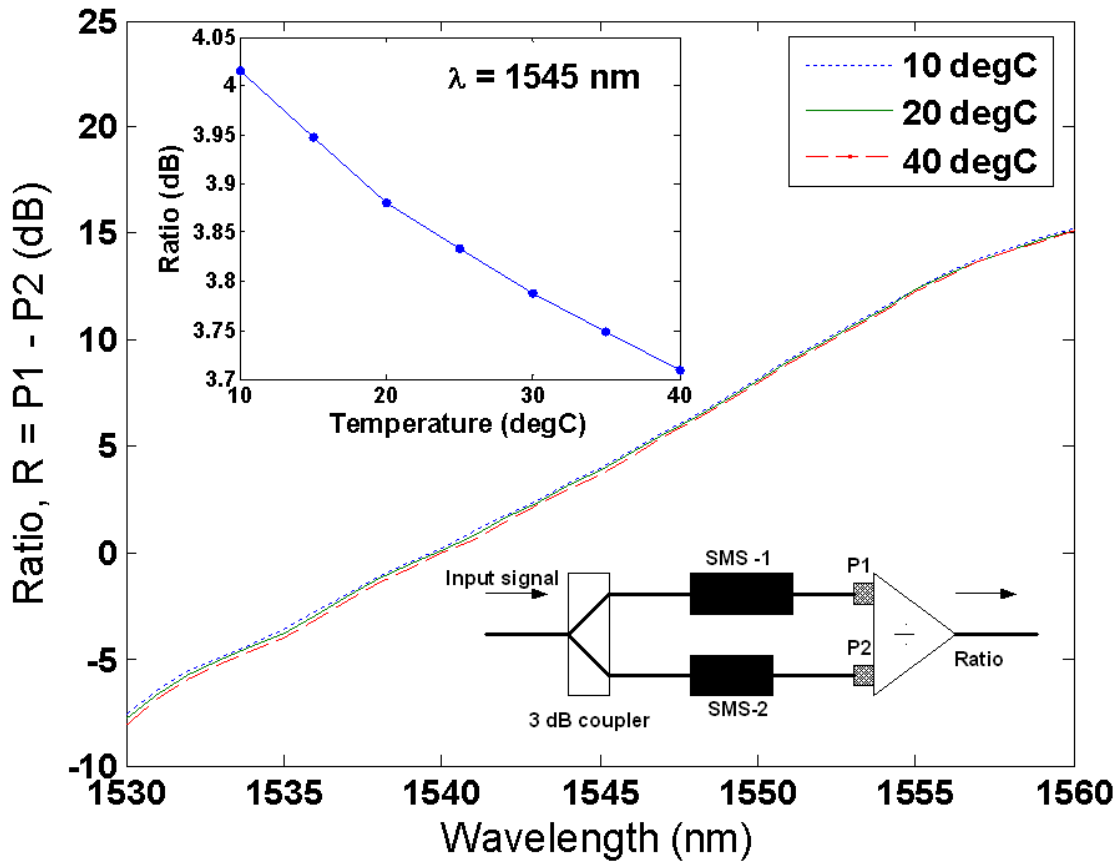


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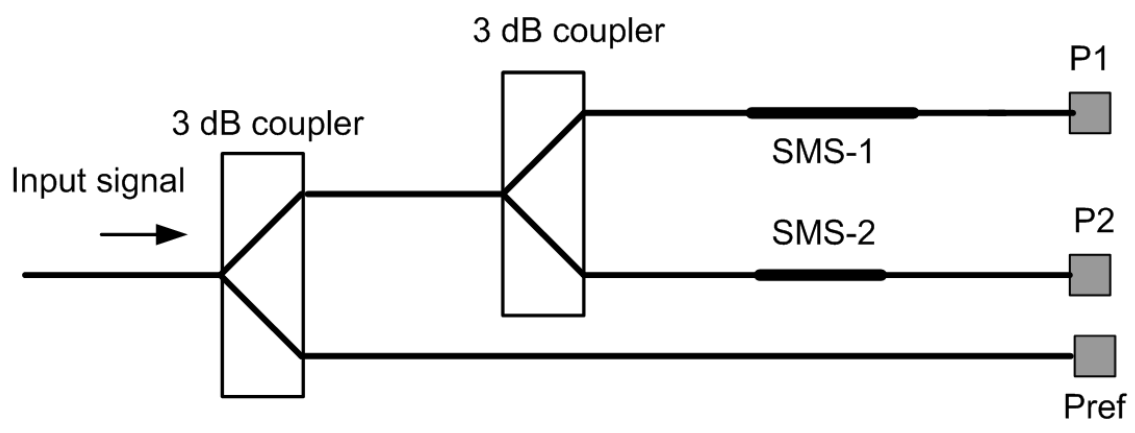
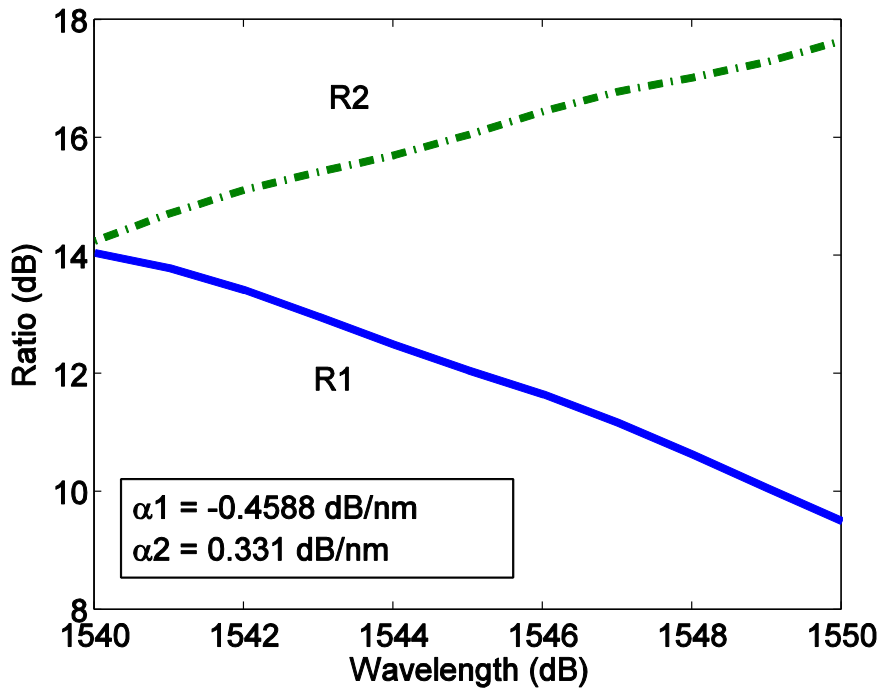
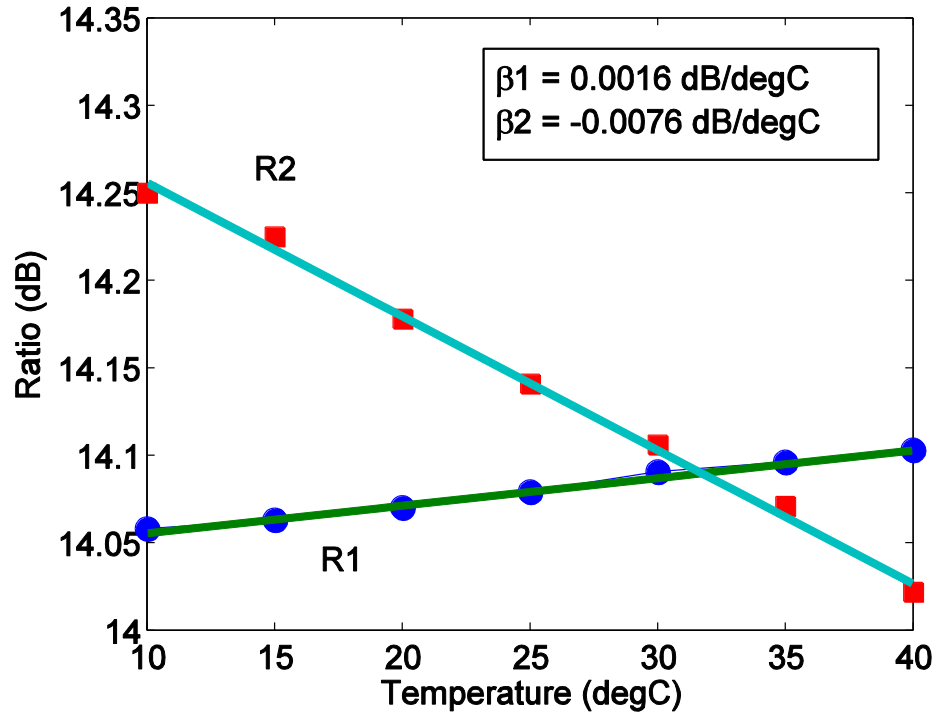


Fig. 7 Updated schematic ratiometric system to allow self-monitoring of temperature.



(a)



(b)

Fig. 8 (a) wavelength coefficients at the temperature of 10 °C (b) temperature coefficients at the wavelength of 1540 nm.